

FORM PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

2048-3-02

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/763589

INTERNATIONAL APPLICATION NO.  
PCT/KR99/00690INTERNATIONAL FILING DATE  
November 17, 1999PRIORITY DATE CLAIMED  
July 19, 1999TITLE OF INVENTION Method and device for generating voice/text/image  
commercial information ringback tone during communication wait

APPLICANT(S) FOR DO/EO/US

RINGFREE CO., LTD.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

09/76358  
U.S. APPLICATION NO. (if known) 37 CFR 1.53  
Unknown

INTERNATIONAL APPLICATION NO.  
PCT/KR99/00690

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2048-3-02

21. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**CALCULATIONS PTO USE ONLY**

\$ 1,000

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	19 - 20 =		x \$18.00	\$ 0
Independent claims	2 - 3 =		x \$80.00	\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1,000

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$ 500

**SUBTOTAL =**

\$ 500

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 500

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 500

Amount to be  
refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 500 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

LEE & HONG  
221 N. Figueroa Street, 11th Fl.  
Los Angeles, CA 90012  
Telephone: (213) 250-7780  
Facsimile: (213) 250-8150

SIGNATURE

Jonathan Y. Kang

NAME

38,199

REGISTRATION NUMBER

09/763589

763589 PCT/PTO

23 FEB. 2001

PATENT

Attorney Docket No: 2048-3-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Hyoung Chan Rhee  
Jong Su Hong  
Serial No:  
Filed: Herewith  
For: METHOD AND DEVICE FOR GENERATING  
VOICE/TEXT/IMAGE COMMERCIAL INFORMATION  
RINGBACK TONE DURING COMMUNICATION WAIT

Art Unit:

Examiner:

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL"Express Mail" Mailing Label No. EJ194813984US

Date of Deposit: February 23, 2001

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ transmittal letter to the U.S. designated/elected office
- ☒ check in amount of \$ 500 as filing fee
- ☒ international application ( 70 page(s) of specification; 19 claim(s); 1 page(s) of abstract
- ☐ PCT request
- ☒ PCT notice informing the applicant of the communication of the international application to the designated offices
- ☒ 21 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to RINGFREE CO., LTD. ☒ will follow
- ☐ PCT International Search Report
- ☒ Inventor(s) or Assignee(s) is entitled to small entity status under 37 C.F.R. §§ 1.9 & 1.27.
- ☐ certified copy of patent application Nos. \_\_\_\_\_, which were filed on \_\_\_\_\_ from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119 ☐ will follow
- ☐ Preliminary Amendment
- ☒ returnpostcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

Date: February 23, 2001

Lee & Hong  
221 N. Figueroa Street, 11<sup>th</sup> Floor  
Los Angeles, California 90012-2601  
Telephone: 213-250-7780  
Facsimile: 213-250-8150

Jonathan Y. Kang

Name of person mailing papers

A handwritten signature in black ink, appearing to read 'J. Kang', written over a horizontal line.

Signature